

# Trigger loop dynamics can explain stimulation of intrinsic termination by bacterial RNA polymerase without terminator hairpin contact

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In bacteria, intrinsic termination signals cause disassembly of the highly stable elongating transcription complex (EC) over windows of two to three nucleotides after kilobases of RNA synthesis. Intrinsic termination is caused by the formation of a nascent RNA hairpin adjacent to a weak RNA–DNA hybrid within RNA polymerase (RNAP). Although the contributions of RNA and DNA sequences to termination are largely understood, the roles of conformational changes in RNAP are less well described. The polymorphous trigger loop (TL), which folds into the trigger helices to promote nucleotide addition, also is proposed to drive termination by folding into the trigger helices and contacting the terminator hairpin after invasion of the hairpin in the RNAP main cleft [Epshtein V, Cardinale CJ, Ruckenstein AE, Borukhov S, Nudler E (2007) *Mol Cell* 28:991–1001]. To investigate the contribution of the TL to intrinsic termination, we developed a kinetic assay that distinguishes effects of TL alterations on the rate at which ECs terminate from effects of the TL on the nucleotide addition rate that indirectly affect termination efficiency by altering the time window in which termination can occur. We confirmed that the TL stimulates termination rate, but found that stabilizing either the folded or unfolded TL conformation decreased termination rate. We propose that conformational fluctuations of the TL (TL dynamics), not TL-hairpin contact, aid termination by increasing EC conformational diversity and thus access to favorable termination pathways. We also report that the TL and the TL sequence insertion (S13) increase overall termination efficiency by stimulating pausing, which increases the flux of ECs into the termination pathway.

transcription | RNA polymerase | trigger loop | intrinsic termination | *Escherichia coli*

Transcription termination is an essential process in all organisms that releases the RNA chain and DNA template from transcribing RNA polymerase (RNAP). Termination prevents unwanted gene expression (1), recycles RNAP for new initiation events (2), and prevents genome-destabilizing collisions with the replication machinery (3). In bacteria, intrinsic termination of the elongating transcription complex (EC) can occur without accessory factors in response to a signal in the DNA and newly synthesized RNA. Intrinsic termination occurs over a 2- to 3-nt window of EC destabilization (4) and is caused by the formation of a GC-rich RNA hairpin in the RNA exit channel of RNAP, immediately upstream of a 3'-terminal, ~8-nt U-rich RNA tract (U-tract; Fig. 1A). Precise termination of the highly stable EC requires rapid entry into a termination pathway before continued nucleotide addition moves the EC beyond the region of destabilization (4–6).

A thermodynamic termination model posits that the relative free energy barriers to termination versus elongation determine the fraction of ECs that terminate; thus, termination efficiency (TE) is kinetically determined by competing rates of nucleotide addition and termination (5, 7) (Fig. 1B). The EC is stabilized by polar and van der Waals contacts between RNAP and (i) the 9- to 10-bp RNA–DNA hybrid formed within an ~12-bp transcription bubble, (ii) the ~18 bp of DNA in the downstream

DNA channel, and (iii) the ~5 nt of unpaired nascent RNA in the RNA exit channel (8–11). The RNA–DNA hybrid also stabilizes the EC (Fig. 1B) by offsetting the cost of melting DNA base pairs in the transcription bubble (8, 12–14). These stabilizing interactions must be broken or counterbalanced to disrupt the EC at a terminator (Fig. 1C). At termination sites, this destabilization is accomplished by rU–dA base pairs in the U-tract that weaken the hybrid (15), and by the GC-rich terminator hairpin ( $T_{hp}$ ) that alters RNA contacts to RNAP (16, 17), prevents EC backtracking that would create a more stable hybrid (8), and indirectly disrupts the upstream ~3 bp of the hybrid via steric features of RNAP (8, 18). Together, these changes make termination kinetically competitive with elongation (19).

Destabilization of the EC at intrinsic terminators occurs in a multistep pathway. First, the U-tract and surrounding sequences cause RNAP to pause (18, 20) (Fig. 1C), which creates a kinetic window for  $T_{hp}$  nucleation. This “U-tract pause” likely resembles the recently described nonbacktracked, elemental pause (21, 22), since backtracking at a terminator would inhibit  $T_{hp}$  formation. The  $T_{hp}$  appears to form in two steps: (i) formation of a partial  $T_{hp}$  that may resemble so-called pause RNA hairpins, which reach only to 2 to 3 nt upstream from the hybrid and increase pause dwell times, and (ii) extension of the  $T_{hp}$  to complete its stem, which appears to be rate-limiting for termination.  $T_{hp}$  extension is thought to melt the upstream ~3 rU–dA base pairs in the hybrid because the extended  $T_{hp}$  stem is sterically incompatible with these base pairs within RNAP (6, 8, 18, 23). This

## Significance

RNA polymerase (RNAP), like many cellular processors of information in DNA and RNA, is a complex macromolecular machine whose multiple structural modules and domains undergo poorly understood conformational changes that mediate information processing. We investigated the role of one such mobile module, the polymorphous trigger loop (TL) of RNAP, in intrinsic transcription termination by bacterial RNAP. The TL folds into a helical hairpin to promote RNA synthesis, but also is proposed to aid termination. By separating effects of the TL and of TL variants on termination from effects on RNA synthesis, we established that TL flexibility, not the helical hairpin conformation, facilitates rearrangements of RNAP leading to termination. Our results illustrate how kinetic assays can help dissect complex macromolecular machines.

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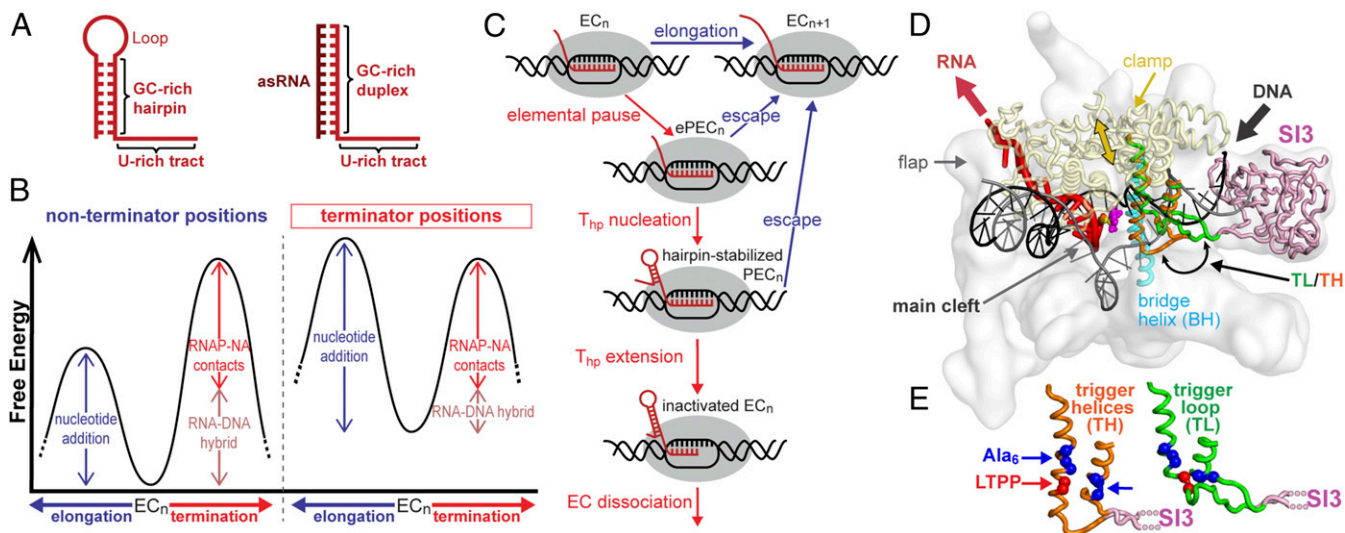
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**Fig. 1.** Intrinsic transcription termination and the polymorphous TL. (A) (Left) The main elements of a canonical intrinsic terminator are shown: a U-rich tract, immediately preceded by a GC-rich  $T_{hp}$  structure. (Right) The  $T_{hp}$  can be mimicked by annealing an asRNA (antisense RNA; dark red) complementary to the nascent RNA transcript (light red) to create a GC-rich duplex. (B) The thermodynamic model for termination (4–6), in which the relative free energy barriers to elongation (blue) versus termination (red) determine the probability of each event. The stabilities of the RNA–DNA hybrid (pink) and RNAP–NA interactions (red) are proportional to the arrow height. (C) The steps of intrinsic termination. Steps that lead to termination are indicated by red arrows; steps that lead to terminator bypass are indicated by blue arrows. (D) Structure of an EC and relevant elements generated as described in ref. 37. RNAP is shown as a white surface with modules of interest shown as  $\alpha$  backbone traces. The alternative structures of the folded TH (orange) and the unfolded TL (green) structures are both shown. For clarity, the TL insertion (SI3, pink) is shown connected to the unfolded TL conformation. (E) The TH and unfolded TL conformations. Blue and red spheres indicate residues mutated in the folded TL stabilizing ( $Ala_6$ ) and folded TL destabilizing (LTPP) RNAP mutants, respectively.

hybrid melting may occur in alternative pathways, depending on hybrid sequence (24, 25): (i) In hybrid-shearing, the extending  $T_{hp}$  pulls the RNA out of register from the DNA by shearing a perfect or near-perfect rU–dA hybrid (8, 24), or (ii) in hyper-translocation when shearing is unfavorable,  $T_{hp}$  extension pulls the entire hybrid upstream by melting upstream RNA–DNA base pairs and downstream DNA duplex base pairs without complementary ribonucleotide addition (24–26). By either route, the remaining partial hybrid cannot stabilize the EC sufficiently and EC dissociation becomes favorable (8).

In contrast to the role of nucleic acid (NA) base pairing, the contributions of structural changes in RNAP modules and domains to termination remain incompletely described (25, 27). A complexity of multiple moving domains and refolding modules is a common feature of macromolecular machines that process information in RNA and DNA. In RNAP, a hinged clamp domain forms stabilizing contacts to both RNA and DNA and may need to open for EC dissociation (17, 28–31). In addition, a highly conserved, polymorphous, ~47-aa trigger loop (TL; Fig. 1 D and E) (32–34) undergoes random coil to helical hairpin transitions during catalysis of nucleotide addition (32, 34). At intrinsic terminators, the  $T_{hp}$  is proposed to invade the RNAP main cleft and to stimulate termination via a direct contact to the TL in its helical hairpin conformation, also called the trigger helices (TH) (28). A TH– $T_{hp}$  contact has yet to be observed in EC structures, and this TH– $T_{hp}$  contact model of termination has been disputed (35). Thus, understanding the role of the TL in termination provides a useful case study for understanding roles of dynamic modules in macromolecular machines generally.

To investigate the role of the TL in termination, we developed an experimental approach that can separate effects on termination rate from effects on elongation rate, which are known to be significant for TL alterations (36–38). Whereas traditional TE measurements report an aggregate of effects on termination and elongation, isolated measurement of termination rate in our assay allowed us to determine the effects of TL mutations specifically on the termination mechanism. Using RNAP variants that (i) bias the TL toward either the folded or unfolded states,

(ii) remove the sequence insertion 3 (SI3) from the TL, or (iii) delete the polymorphous arms of the TL, we were able to test the contribution of the TL to termination rate, TE, and the pausing steps leading into the termination pathway.

## Results

**Measurement of Termination by Elongation-Compromised RNAP Mutants.** To investigate the effect of the TL on intrinsic termination, we needed to separate effects of EC rearrangements on the termination pathway, in which TH– $T_{hp}$  contact is proposed to play a role, from effects on the rate of nucleotide addition, which affects TE by competing with the termination pathway and is known to depend on TL folding.

We first investigated a strategy to study termination by measuring dissociation rates of static ECs stalled by NTP deprivation. We triggered formation of a  $T_{hp}$  mimic by addition of antisense oligonucleotides (oligos) to the static ECs to stimulate termination (8, 18). However, we found that stalled ECs terminated more slowly than active ECs and were largely insensitive to TL alterations, contrary to demonstrated TL effects on termination (28) (SI Appendix, Supplementary Discussion and Fig. S1). This result may reflect the fact that stalled ECs can isomerize into alternative conformations before termination is triggered by addition of the antisense oligo. To avoid artifactual effects in static ECs, we instead developed an active EC termination assay in which termination was triggered in actively elongating ECs by antisense oligo addition.

To develop the active-EC termination assay, we modified the hairpin-stabilized *his* pause sequence to resemble an intrinsic terminator by adding rU–dA base pairs to create a terminator U-tract (*t<sub>his2</sub>*; Fig. 2A). We also removed the upstream arm of the pause hairpin, which allowed assembly of stable ECs at G17 and measurement of rates of elongation and pausing at C18 and U19 in the absence of a complete termination signal. Antisense oligos that pair to the exiting RNA 8 nt to 10 nt from the U19 RNA 3' end could then be added to create a  $T_{hp}$  mimic (20) for measurement of the termination rate at U19, corresponding to



**Table 1. Effects of TL mutations on the termination rate and efficiency at U19**

RNAP	asRNA	TE*	$k_{\text{term}}, \times 10^{-4} \text{ s}^{-1}$	$k_{\text{term}} \text{ ratio}^\dagger$
WT	-8	42 ± 3	1,000 ± 300	N.D.
	-10	17 ± 1	260 ± 20	4 ± 1 <sup>‡</sup>
ΔTL	-8	87 ± 7	N.D.	N.D.
	-10	26 ± 2	3.5 ± 0.2	74 ± 7
ΔSI3	-8	34 ± 7	850 ± 150	1.2 ± 0.4
	-10	~4 <sup>§</sup>	N.D.	N.D.
LTPP	-8	86 ± 1	N.D.	N.D.
	-10	47 ± 7	6.6 ± 0.5	39 ± 4
LTPPΔSI3	-8	99 ± 2	N.D.	N.D.
	-10	53 ± 2	14 ± 2	19 ± 3
Ala <sub>6</sub> ΔSI3	-8	~86 <sup>§</sup>	N.D.	N.D.
	-10	44 ± 9	14 ± 3	19 ± 4

N.D., not determined because the TE was outside the useful range of the assay (15–85% TE). Errors are SD of values calculated from ≥3 independent experimental replicates.

\*TE = U19/(U19 + A20<sup>+</sup>) × 100, where A20<sup>+</sup> includes RNA products A20 or larger; TE calculations were adjusted for conditions with delayed asRNA addition by determining the percent of U19 RNA present at the time of asRNA addition that failed to extend to A20<sup>+</sup>.

<sup>†</sup>Here  $k_{\text{term}} \text{ ratio} = k_{\text{term}}(\text{WT})/k_{\text{term}}(\text{mutant})$  for a given asRNA.

<sup>‡</sup>Here  $k_{\text{term}} \text{ ratio} = k_{\text{term}}(\text{WT with } -8 \text{ asRNA})/k_{\text{term}}(\text{WT with } -10 \text{ asRNA})$ .

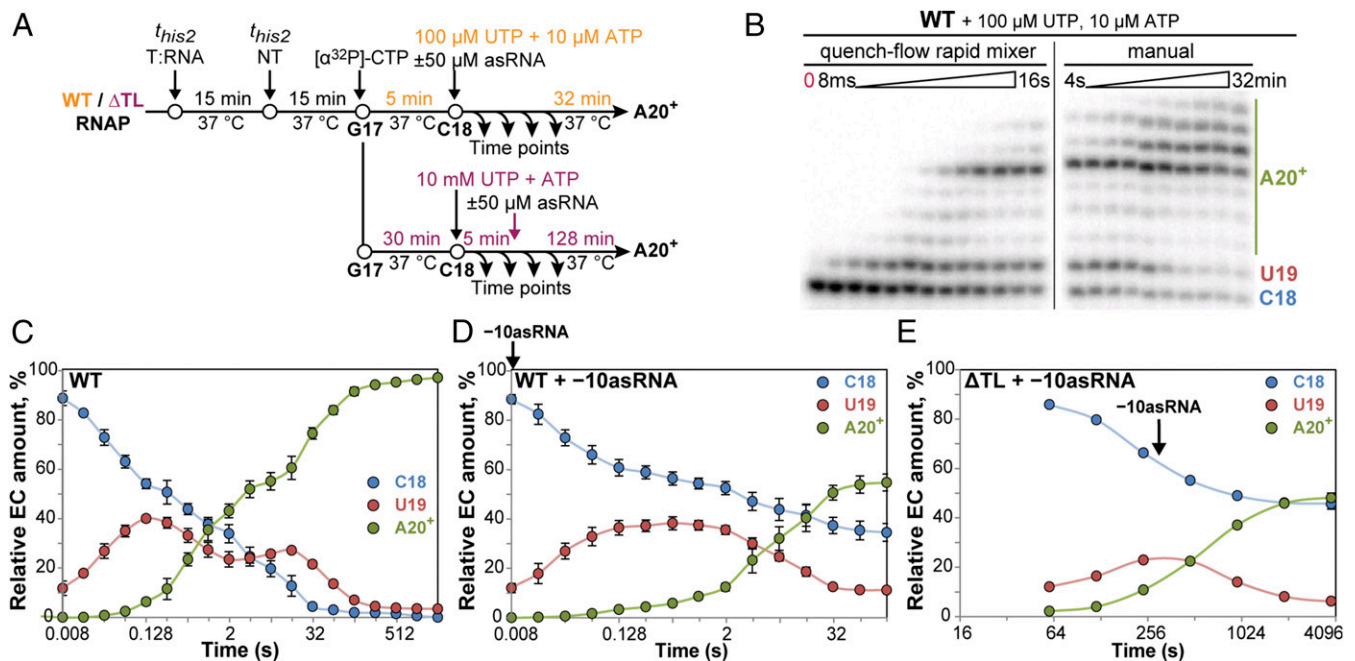
<sup>§</sup>Value reported is the average of two replicates.

simplest kinetic model with statistically significant improvement relative to alternatives was chosen (*Methods* and *SI Appendix, Fig. S3*). A significant fraction of WT ECs paused at C18 and U19 in response to the U-tract sequence (Fig. 4 and Table 2). However, ΔTL ECs elongated through C18 and U19 with simple pseudo first-order kinetics, consistent with the previous finding that ΔTL ECs do not exhibit pausing behavior (22).

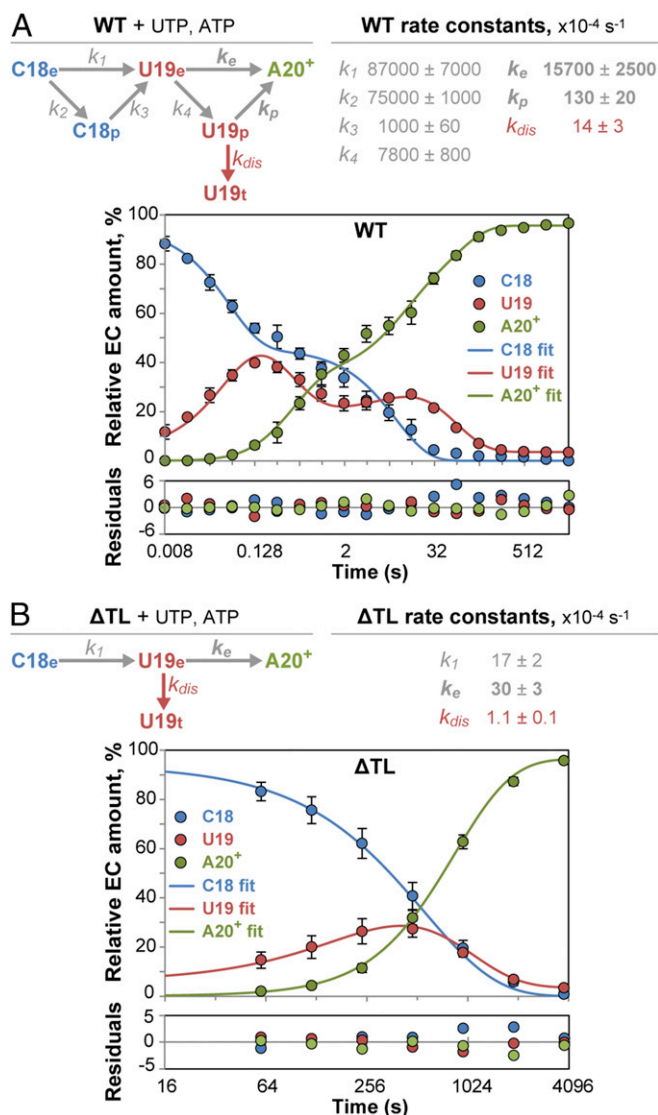
Initially we compared WT and ΔTL RNAPs using the -8 asRNA (*SI Appendix, Fig. S4 A–D*), but found that the TE for ΔTL RNAP was too high to allow reliable calculation of the termination rate (Table 1). Shifting the asRNA to extend only to -9 (relative to U19 at -1) also gave near 100% TE for ΔTL RNAP (*SI Appendix, Fig. S4 E and F*). Therefore, we chose the -10 asRNA to enable accurate comparison of termination rates of WT and ΔTL RNAPs.

The rates of termination were calculated by fitting the relative populations of C18, U19, and A20<sup>+</sup> RNA species observed in the presence of -10 asRNA (Fig. 3 D and E and *SI Appendix, Fig. S2 C and D*) using the best-fit kinetic model of elongation and pausing with fixed rates of elongation, pause entry, and pause escape, and unconstrained rates of termination for positions C18 and U19 (Fig. 5). In addition, inclusion of a fixed rate of asRNA pairing, which was measured separately using a previously described assay (44) (*SI Appendix, Fig. S5*), and of unconstrained rates of nucleotide addition for the RNA duplex-containing EC states were required to give good fits to the data (*Methods* and *SI Appendix, Fig. S6*). Thus, in our calculations of termination rates, only four parameters were allowed to vary: the rates of elongation and termination by -10 asRNA-bound C18 and U19 ECs (black arrows in Fig. 5).

To avoid potential artifacts from comparing the behaviors of halted C18 ECs (*SI Appendix, Supplementary Discussion*), we compared WT and ΔTL termination rates for U19 ECs ( $k_{\text{term}}$ ; Fig. 5). Despite the increased TE observed for ΔTL ECs (Fig. 2C and Table 1),  $k_{\text{term}}$  was decreased by a factor of ~75 in ECs lacking a TL [ $(3.5 \pm 0.2) \times 10^{-4} \text{ s}^{-1}$  for ΔTL vs.  $(260 \pm 20) \times 10^{-4} \text{ s}^{-1}$  for WT; Table 1]. Although deleting the TL slows termination rate dramatically, overall TE changes much less (Table 1) because the TL deletion also decreases nucleotide addition rate (Fig. 4 and Table 2). This masking of a large effect on termination rate when considering only the aggregate TE illustrates



**Fig. 3. Limited hairpin extension enabled termination rate measurements for slow ΔTL RNAP mutant.** (A) Experimental scheme for measurement of the elongation and termination rates of WT and ΔTL ECs. (B) Representative denaturing RNA gel image and (C) reaction progress curves showing the conversion of RNAs from C18 to U19 to A20<sup>+</sup> for WT ECs in the absence of asRNA. The ΔTL gel image and reaction progress curves are shown in *SI Appendix, Fig. S2 A and B*. (D and E) Reaction progress curves for (D) WT ECs with -10 asRNA and (E) ΔTL ECs with -10 asRNA; the corresponding gel images are shown in *SI Appendix, Fig. S2 C and D*, respectively. The time of asRNA addition is indicated with arrows. Error bars represent SD from three or more independent experimental replicates; error bars are smaller than the data markers in some cases.



**Fig. 4.** Determination of elongation rates in the absence of asRNA by kinetic fitting. Kinetic models, the corresponding fits, and the rate constants obtained from the fits are shown for (A) WT and (B)  $\Delta$ TL ECs in the absence of asRNA. Residuals between measured EC amounts and the amounts predicted by the kinetic mechanisms and rate constants from global fits are shown in the lower panels. C18<sub>e</sub>, U19<sub>e</sub>, and A20<sup>+</sup> denote elongating ECs with C18, U19, or A20<sup>+</sup> RNAs, respectively; C18<sub>p</sub> and U19<sub>p</sub>, elemental paused ECs; U19<sub>t</sub>, terminated ECs. Error bars represent SD from three or more independent experimental replicates (Methods); error bars are smaller than the symbols in some cases.

the importance of measuring the termination rate to study the mechanism of termination. We conclude that the TL plays a crucial role in intrinsic termination by significantly accelerating the rate-limiting step in the termination pathway.

We note that  $-8$  asRNA accelerated termination rate  $\sim$ fourfold relative to  $-10$  asRNA ( $[1,000 \pm 300] \times 10^{-4} \text{ s}^{-1}$  for  $-8$  asRNA vs.  $[260 \pm 20] \times 10^{-4} \text{ s}^{-1}$  for  $-10$  asRNA; Table 1), consistent with the idea that  $T_{hp}$  extension is at least partially rate-determining for EC inactivation.

**The TL also Aids Termination by Favoring Pausing at the Termination Sites.** Our kinetic analyses also yielded estimates for the rates of pause entry and escape for WT and  $\Delta$ TL ECs. One-third (33%) of WT U19 ECs entered a paused state in which nucleotide

addition was slowed by a factor of  $\sim 120$  in the absence of asRNA, or by a factor of  $\sim 16$  after asRNA binding (Fig. 4A and Table 2). However, the absence of the TL completely abrogated pausing at U19 in response to the U-tract (Fig. 4B and Table 2). The presence of the TL thus favors entry into the elemental paused state in WT ECs, which would extend the kinetic window for  $T_{hp}$  formation. The binding of asRNA also had minimal effect on nucleotide addition rate by  $\Delta$ TL RNAP (Table 2), consistent with previous reports that the TL participates in the hairpin-stabilized paused state (22, 37, 45). We conclude that, in addition to stimulating termination, the TL helps establish and inhibits escape from paused states at terminators, thereby increasing flux of ECs into the termination pathway.

**SI3 Increases Pausing and TE, but Not Termination Rate.** The *Escherichia coli* TL contains a large (188-aa) insertion called SI3 (Fig. 1D and E), present in many Gram-negative bacterial lineages (46, 47). SI3 affects TL function in elongation, pausing, and intrinsic cleavage (37, 48, 49). A monoclonal antibody (mAb) that binds SI3 was shown to decrease dissociation of ECs stalled at a termination site (28). Thus, the effect of TL deletion on termination observed in our assay could reflect effects of the TL itself or of SI3, which is also deleted in  $\Delta$ TL RNAP.

To test for SI3 effects on termination, we determined elongation, pause, and termination rates of an SI3 deletion mutant ( $\Delta$ SI3; SI Appendix, Table S1) on the  $t_{his2}$  scaffold. Termination was almost undetectable for  $\Delta$ SI3 ECs with  $-10$  asRNA, suggesting that SI3 either aids termination rate or increases the formation or dwell time of paused states leading to termination. The  $-8$  asRNA caused  $\sim 35\%$  TE for  $\Delta$ SI3 RNAP and enabled direct comparison of termination rate to WT (Table 1 and SI Appendix, Fig. S7). Interestingly, deletion of SI3 had little effect on termination rate (Table 1). Therefore, the strong effect of the TL deletion on termination rate reflects a role of the TH-forming parts of the TL and not an effect of SI3 on termination rate.

Although SI3 did not affect termination rate, it did increase pausing significantly, with the indirect effect of increasing TE by increasing flux of ECs into the termination pathway. Deletion of SI3 decreased relative pause lifetime at U19 by a factor of  $\sim 15$  ( $[8 \pm 3]$  for  $\Delta$ SI3 vs.  $[120 \pm 30]$  for WT; Table 2), and decreased the fraction of U19 ECs that entered the paused states ( $\sim 17\%$  for  $\Delta$ SI3 vs.  $\sim 33\%$  for WT). However, SI3 had less effect on the rate of A20 addition after asRNA binding (Table 2). Although an approximately threefold effect of SI3 on pausing stabilized by a hairpin extending to  $-12$  has been observed previously (37, 49), the closer approach of the duplex stem to the RNA 3' end may obviate this effect of SI3 at terminators (50). As a consequence of less pausing overall, the TE for  $\Delta$ SI3 ECs was  $\sim 34\%$  vs.  $\sim 42\%$  for WT (Table 1), indicating that SI3-mediated pause stimulation increased TE by increasing flux of ECs into the termination pathway, even though the termination rate per se was minimally affected.

**Reduced TL Conformational Flexibility Inhibits Termination Rate.** Our results established that the TL increases termination rate up to  $\sim 75$ -fold. However, it was unclear if a particular TL state (e.g., the TH as predicted by the TH- $T_{hp}$  contact model) favors termination, if some other conformation favors termination, or if the conformational flexibility of the TL might be more important than any single conformation. To address these questions, we sought to test termination at  $t_{his2}$  by previously characterized TL variants that either stabilize the folded TL conformation (Ala<sub>6</sub>) or prevent folding and favor unfolded conformations (LTPP; Fig. 1E) (36, 37). For the TH-destabilizing LTPP substitution, we could make a direct comparison between the mutant RNAP and WT using the  $-10$  asRNA (SI Appendix, Fig. S8). The LTPP substitutions decreased termination rate by a factor of  $\sim 40$ , similar to the effect seen for  $\Delta$ TL using the  $-10$  asRNA (Table 1).

**Table 2. Effects of TL mutations on pausing on the termination pathway at U19**

RNAP	asRNA	[ATP]	$k_{er} \times 10^{-4} s^{-1}$	PE*	$k_p \times 10^{-4} s^{-1}$	$k_e/k_p$	$k_{p,asR} \times 10^{-4} s^{-1}$	$k_e/k_{p,asR}$
WT	-8	10 $\mu$ M	15,700 $\pm$ 2,500	33 $\pm$ 2	130 $\pm$ 20	120 $\pm$ 30	980 $\pm$ 400	16 $\pm$ 7
	-10	10 $\mu$ M					980 $\pm$ 100	16 $\pm$ 3
$\Delta$ TL	-10	10 mM	30 $\pm$ 3	N.A.	N.A.	N.A.	20.3 $\pm$ 0.2	1.5 $\pm$ 0.2
$\Delta$ SI3	-8	10 $\mu$ M	14,200 $\pm$ 1,000	17 $\pm$ 2	1,800 $\pm$ 600	8 $\pm$ 3	1,080 $\pm$ 300	13 $\pm$ 4
LTPP	-10	10 mM	42 $\pm$ 1	N.A.	N.A.	N.A.	13.4 $\pm$ 0.3	3.1 $\pm$ 0.1
LTPP $\Delta$ SI3	-10	10 mM	62 $\pm$ 2	N.A.	N.A.	N.A.	21 $\pm$ 3	3 $\pm$ 0.4
Ala <sub>6</sub> $\Delta$ SI3	-10	10 $\mu$ M	83 $\pm$ 4	N.A.	N.A.	N.A.	19 $\pm$ 3	4.4 $\pm$ 0.7

Errors are SD of values calculated from three or more independent experimental replicates. N.A., not applicable.  
\*PE (pause efficiency), percent of complexes that enter the U-tract paused state, U19<sub>p</sub>.

Unfortunately, we were unable to make a direct comparison between WT and the TH-stabilizing Ala<sub>6</sub> RNAP because C18 ECs formed with Ala<sub>6</sub> RNAP exhibited spontaneous transcript cleavage that precluded subsequent analyses (SI Appendix, Fig. S9A). However, we could make an indirect comparison using Ala<sub>6</sub> $\Delta$ SI3 RNAP with -10 asRNA (SI Appendix, Fig. S10), even though -10 asRNA TE for  $\Delta$ SI3 RNAP was too low for kinetic modeling and -8 asRNA TE for Ala<sub>6</sub> $\Delta$ SI3 RNAP was too high for kinetic modeling (SI Appendix, Fig. S9 B and C). The -10 asRNA termination rate for Ala<sub>6</sub> $\Delta$ SI3 RNAP was slower by a factor of ~20 compared with WT RNAP. Since we found that SI3 itself had little effect on termination rate (Table 1), this indirect comparison suggests that the TH-stabilizing Ala<sub>6</sub> substitutions are responsible for the large effect on termination rate. Consistent with this interpretation, the -10 asRNA termination rate for LTPP $\Delta$ SI3 RNAP was slower by a factor of ~20 compared with WT RNAP and similar to the ~40-fold effect of LTPP on termination rate observed when SI3 was present (Table 1 and SI Appendix, Fig. S10). We conclude that both the TH-destabilizing LTPP substitutions and the TH-stabilizing Ala<sub>6</sub> substitutions cause large decreases in termination rate on the *thi2* scaffold.

The Ala<sub>6</sub> and LTPP results together indicate that neither the folded nor unfolded TL per se aids termination. However, both Ala<sub>6</sub> and LTPP TLs gave faster termination rates than the TL deletion (Table 1). These results suggest that restricting TL movements into a smaller conformational space may reduce termination by lessening the probability that the flexible RNAP structure can access termination pathways with lower barriers to termination (Discussion).

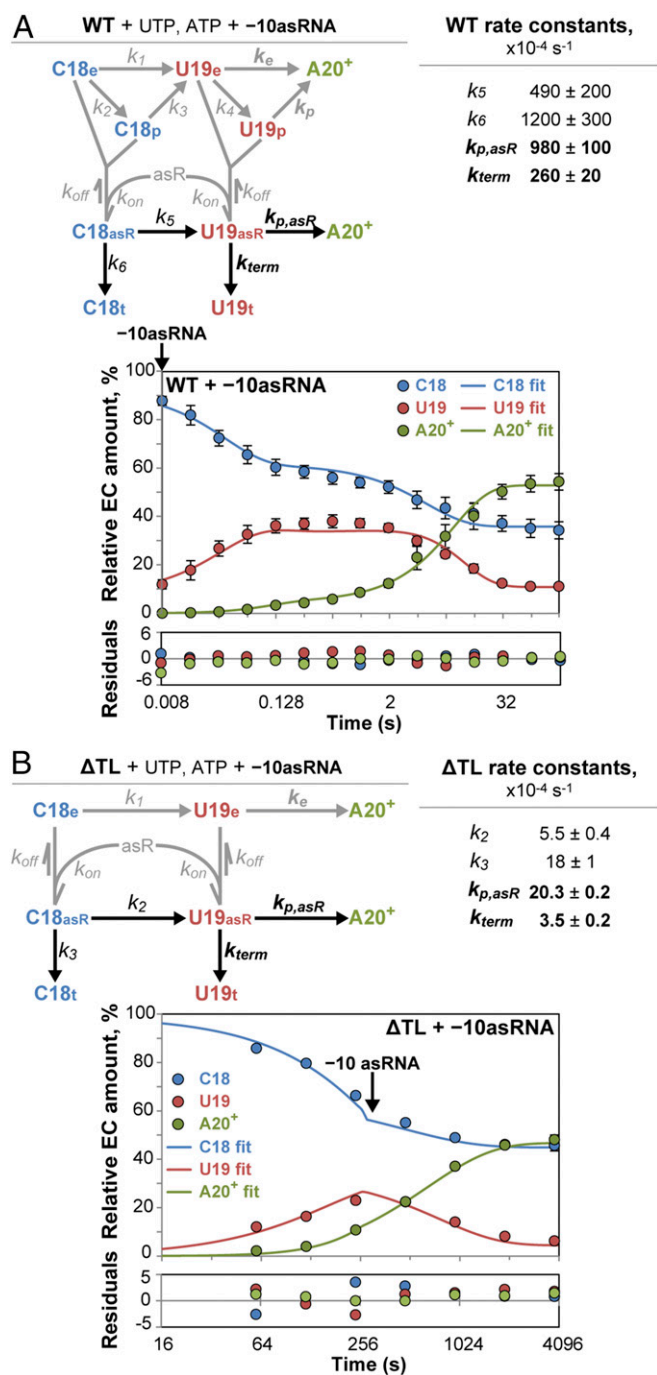
## Discussion

Our study revealed effects of TL conformational states on the rate of intrinsic termination by exploiting an assay that derives termination rates from measurements of elongation rates, pause rates, and TE. This approach was necessary to study the effects of the TL on termination because TL alterations can profoundly impact elongation rates and pause propensities of ECs, and thus can greatly affect TE irrespective of effects on termination rate. Using this assay, we were able to isolate individual steps in the kinetically complex termination pathway and gain several insights into the role of the TL at key decision points along the termination pathway (Fig. 6). First, the TL enhanced the rate of termination, independent of its effects on pausing and elongation. Second, the polymorphous arms of the TL, not the 188-aa TL insertion (SI3), were responsible for the effect on termination rate. Third, both the TL and SI3 increased the flux of ECs into the termination pathway by promoting pausing. Finally, in contradiction to the TH-T<sub>hp</sub> contact model (28), our results suggest that conformational fluctuations of the TL—i.e., its ability to adopt different conformations and not the TH conformation required for contact to the T<sub>hp</sub>—accelerate the rate-limiting step of intrinsic termination.

**A Model for Termination Aided by TL Conformational Dynamics.** The thermodynamic model of intrinsic termination posits that the relative free energy barriers to elongation and termination determine the fraction of complexes that terminate via kinetic competition between the two pathways (4, 5) (Fig. 1B). This model, with single elongation and termination pathways and simple changes to RNAP-NA contacts, was sufficient before knowledge that modules like the clamp, bridge helix, and TL occupy distinct, interconnected conformational states (17, 29–31, 44, 51, 52). Incorporation of these states and the known alternatives of hybrid shearing or hypertranslocation (24) suggests an extension of the thermodynamic model to a multistate multipath (MS-MP) model, in which different interconverting EC conformations explore multiple, alternative termination pathways (Fig. 6).

The MS-MP termination model posits that the terminating EC exists in a family of conformational states with fluctuating RNAP-NA contacts that are weaker in some states than in others. These conformational fluctuations would result in variations in EC stability (Fig. 6B, Inset) and create multiple paths to termination with different free energy barriers (Fig. 6B). Variability in free energy barriers caused by multiple molecular states has precedent in studies of ligand binding and catalysis, where differences between protein conformational substates and their relative stabilities are thought to cause variations in the activation energy of different pathways (53–58). The idea of multiple parallel routes for a single reaction is analogous to the well-documented concept of multiple protein folding pathways (55, 56, 59). The hybrid-shearing and hypertranslocation termination models (8, 24, 26) are examples of such different pathways in the case of intrinsic termination. The MS-MP model is similar to the original thermodynamic termination model developed by von Hippel and coworkers (4–6), but adds the dimension of protein conformational states that were not well defined when the model was developed.

A principal rationale for the MS-MP termination model is in its ability to provide a parsimonious explanation for both the ~75-fold stimulatory effect of the TL on termination rate and the reduction in termination rate when either the folded or unfolded TL conformation is stabilized (Table 1). If terminating ECs occupy multiple states with multiple possible paths to termination as proposed by the MS-MP model, conformational changes in the mobile TL that increase the conformational diversity of terminating ECs would enable the ECs to access pathways with lower free energy barriers to termination (Fig. 6B). The MS-MP model thus predicts that, when the TL is deleted or its conformations restricted by alterations (as in Ala<sub>6</sub> and LTPP RNAPs), the number of accessible conformational states of the terminating EC will be reduced (SI Appendix, Fig. S11, Inset). Restricting conformational states will inhibit access to lower-energy paths of termination (SI Appendix, Fig. S11, highlighted areas) and decrease overall termination rate, consistent with the effects of TL alterations reported here (Table 1). Conversely, a dynamic TL



**Fig. 5.** The TL increases termination rate. Kinetic models, the corresponding fits, and the rate constants obtained from the fits are shown for (A) WT and (B)  $\Delta$ TL ECs with -10 asRNA. Time of asRNA addition is indicated with arrows, and is accounted for in the kinetic fits. Residuals between measured EC amounts and the amounts predicted by the kinetic mechanisms and rate constants from global fits are shown in the lower panels. C18<sub>e</sub> and U19<sub>e</sub> denote elongating ECs with C18 or U19 RNAs, respectively; C18<sub>p</sub> and U19<sub>p</sub>, elemental paused ECs; asR, free asRNA; C18<sub>asR</sub> and U19<sub>asR</sub>, asRNA-bound ECs; C18<sub>t</sub> and U19<sub>t</sub>, terminated ECs; A20<sup>+</sup>, ECs with A20<sup>+</sup> RNA. Error bars represent SD from three or more independent experimental replicates (*Methods*); error bars are smaller than the symbols in some cases.

that fluctuates among various conformations will enable the EC to explore multiple routes of termination and increase the overall termination rate. In this view, the observed termination rates are aggregates of multiple termination paths, and lessening

the conformational flexibility of RNAP reduces the probability of termination.

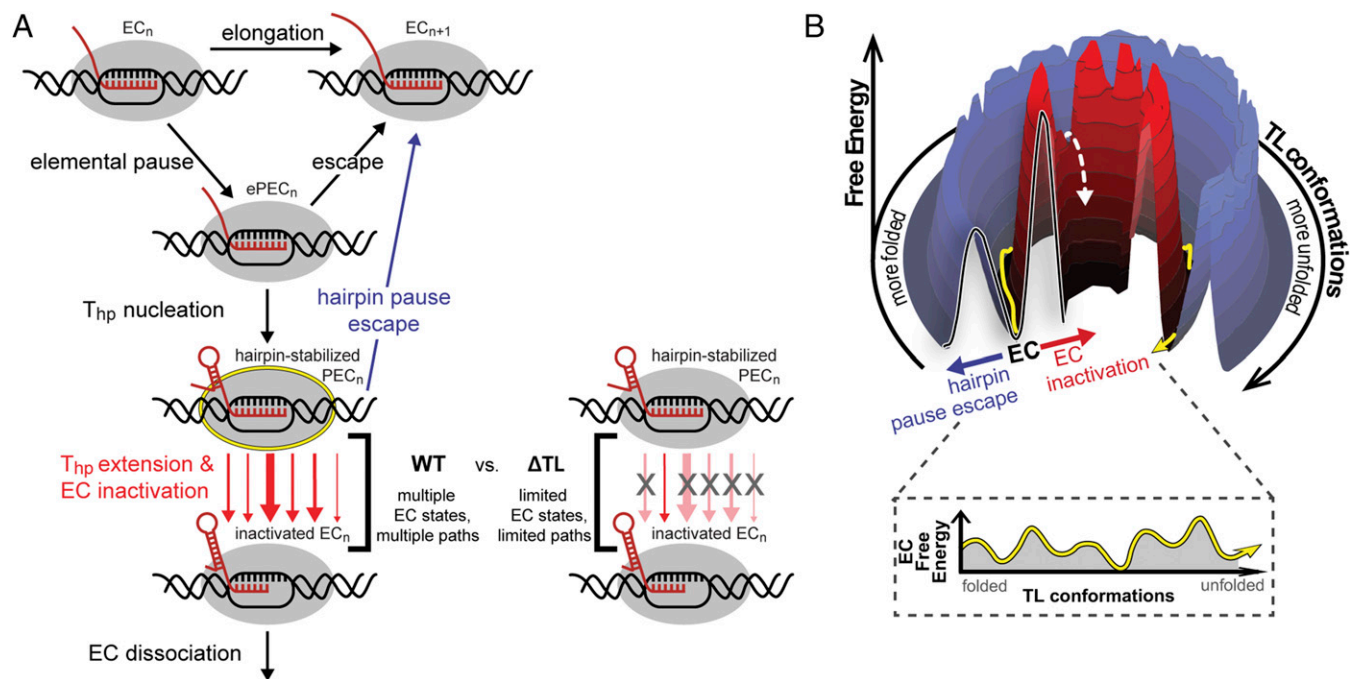
**Inhibition of Termination by TH Stabilization Contradicts the TH-T<sub>hp</sub> Contact Model.** The TH-T<sub>hp</sub> contact model posits that formation of the TH favors termination by contacting the T<sub>hp</sub> (28). However, stabilization of the TH by Ala substitutions in the TL/TH hinge regions inhibited termination (Table 1, Ala<sub>6</sub>ΔSI3). This result, coupled with alternative explanations for the main findings based on which the TH-T<sub>hp</sub> contact model was proposed (35), favor the MS-MP termination model.

The TH-T<sub>hp</sub> contact model is based, in part, on the observation of UV-induced crosslinks between the upper stem and loop of the T<sub>hp</sub> and the TL, leading to the suggestion that the T<sub>hp</sub> invades the main cleft of RNAP and contacts the TH in a terminating complex (18, 28). However, the low-salt conditions in which this crosslink can be detected cause the formation of binary RNA-RNAP complexes after EC dissociation (60); these posttermination binary complexes are the likely source of the crosslink (35). Moreover, a TL substitution found to inhibit termination (G1136S) (28) likely favors the TH conformation (51), whereas binding of a mAb to SI3 that stabilizes an unfolded TL also inhibits termination (28). The similar inhibitory effects of biasing the TL in opposing orientations are at odds with the TH-T<sub>hp</sub> contact model but consistent with the MS-MP model. Finally, based on inhibition of termination by the TH-stabilizing ligand tagetitoxin, it also has been argued that the unfolded TL rather than the folded TH favors Rho-dependent termination (61). It is improbable that Rho-dependent and Rho-independent (intrinsic) termination would be favored by opposite TL conformations, putting the TH-T<sub>hp</sub> contact model at odds with the proposed role of the TL in Rho-dependent termination. However, these effects are all readily explained by the MS-MP model, where different means of restricting TL conformational fluctuations all result in decreased termination rate.

**The TL and SI3 also Promote Intrinsic Termination by Stimulating Transcriptional Pausing.** Prior studies suggest that pausing plays a key role in termination, by allowing time for the T<sub>hp</sub> to form and then to invade and destabilize the EC (18, 20, 23, 50, 62). Pausing is thought to be mediated, in part, by inhibition of TL folding aided by SI3 (22, 37, 45). Our results confirm these hypotheses and provide quantification of the effects of pausing and of the TL during termination at an active terminator, whereas earlier studies inferred roles of pausing from studies in which the T<sub>hp</sub> was unable to form completely.

For WT RNAP, we could resolve two distinct paused states at U19. One paused state existed before (or in the absence of) asRNA binding with a nominal dwell time ~100 times slower than the elongation pathway (Table 2). This pause was consistent with prior descriptions of pausing in the terminator U-tract and with the properties of an elemental pause (18, 20–22). A distinct paused state existed after asRNA binding, in which the paused ECs were still capable of escape by nucleotide addition but at a rate ~20 times slower than the elongation pathway. The shorter lifetime of the RNA duplex-containing paused ECs (relative to the pause observed when the duplex was absent) is consistent with prior studies suggesting that extension of an exit channel duplex to 11 nt or less from the RNA 3' end eliminates most of the pause-prolonging effects of exit-channel duplexes that extend to -12 or -13 (50).

Our results also establish that both the TL and SI3 significantly contribute to the formation or lifetime of both the elemental and RNA duplex-containing paused states. When the TL and SI3 were deleted, U19 ECs elongated at a single rate, suggesting that  $\Delta$ TL ECs do not partition into a paused state distinct from the elongation pathway (Table 2). Even after exit-channel duplex formation,  $\Delta$ TL ECs continue elongation at essentially the same rate. These findings are consistent with previous reports



**Fig. 6.** TL dynamics increase conformational states of an EC and paths to termination. (A) The MS-MP model of intrinsic termination. Terminating ECs may exist in a family of conformational states with varying stabilities and access to multiple paths to termination. A WT EC with a dynamic TL occupies a diverse distribution of states, enabling access to many paths of EC inactivation (left). The limited EC dynamics of  $\Delta$ TL ECs (or ECs with restricted TL flexibility) decrease the number of available paths to EC inactivation (right). Thick arrows indicate paths that are more favorable for termination. (B) Hypothetical energy landscape depicting the role of TL conformation in allowing the EC to sample various pathways to termination with differing energy barriers. Blue and red arrows for hairpin pause escape and EC inactivation, respectively, represent the corresponding steps in A. The white dashed arrow indicates a hypothetical low-energy barrier that could be more easily traversed by ECs; the yellow arrow denotes the region of the 3D projection analogous to the yellow arrow in *Inset*. (*Inset*) Hypothetical energy diagram depicting the role of TL conformation in altering EC stability.

that the TL significantly contributes to pausing (22), and that altering the TL can decrease both pause efficiency and duration (37, 45).

Of particular interest, we observed that SI3 greatly prolongs the U-tract elemental pause. The presence of SI3 not only increased pause duration  $\sim$ 15-fold, it also increased the fraction of ECs entering the pause from  $\sim$ 17 to  $\sim$ 33% (Table 2). Indeed, the major effect of SI3 on termination is to increase flux toward the termination pathway by increasing pausing, since SI3 had little effect on the rate of termination. Of note, SI3 is surface-exposed, and mAbs that bind SI3 can modulate TL function (28, 48). SI3 may thus present a target for extrinsic regulators that could modulate the flux of ECs into the termination pathway.

Overall, our results suggest that the TL stimulates termination not only by allowing access to lower-energy termination pathways but also, aided by SI3, by increasing entry into and retention of paused EC states that provide time for the  $T_{hp}$  to destabilize the EC (Fig. 6).

### Conclusion

We suggest that, in addition to NA rearrangements, RNAP dynamics play an important role in intrinsic termination based on the contributions of the TL to multiple decision points in the termination pathway. The TL is a universally conserved polymorphous module in all multisubunit RNAPs (32, 34, 37) whose conformational fluctuations are known to aid steps in the nucleotide addition cycle. Based on our results suggesting a role of TL dynamics in intrinsic termination, we propose an MS-MP model of intrinsic termination that links TL conformational changes to stability of the EC more generally.

Other highly dynamic or mobile domains of RNAP such as the clamp, switch regions, bridge helix, and flap also likely play important roles in both the termination pathway and RNAP dynamics. Our assay provides an easily adaptable method to test

their contributions to each step of the termination pathway, and to characterize more completely the structural mechanism by which intrinsic termination disassembles the extraordinarily stable EC.

It is unclear if similar dynamics affect termination by eukaryotic RNAPII, but RNA secondary structures have also been proposed to aid RNAPII termination (63, 64), including effects of bacterial intrinsic terminators in yeast RNAPII (8) and the HIV-1 TAR hairpin on human RNAPII (65). Alterations of the TL in yeast RNAPIII also affect TE (66), and the presence of the TL in archaeal RNAP prevents aberrant termination (67). These findings raise the possibility that the MS-MP termination model may also be relevant to eukaryotic and archaeal RNAPs. Further study of the role of TL dynamics and RNA-structure-mediated termination in eukaryotes and archaea is merited.

### Materials and Methods

Sources of materials and proteins are described in *SI Appendix, Supplementary Methods*.

**In Vitro EC Reconstitution.** The NA scaffold for EC reconstitution was formed by mixing 5  $\mu$ M G17 RNA and 10  $\mu$ M T-DNA (template-DNA; #10002 and #8451, respectively; *SI Appendix, Table S2*) in reconstitution buffer (10 mM Tris-HCl, pH 7.9, 40 mM KCl, 5 mM MgCl<sub>2</sub>), heating to 95 °C for 2 min, cooling rapidly to 45 °C, and then cooling to 25 °C in 2 °C increments for 2 min each, as described previously (68). The 17-nt RNA was designed to have 8 nt of complementarity to the T-DNA at the site of reconstitution to prevent base pairing of upstream T-DNA and RNA, and backtracking of ECs at the termination site.

ECs were reconstituted by incubating 2.5  $\mu$ M core *E. coli* RNAPs with 0.5  $\mu$ M NA scaffold in transcription buffer (TB; 20 mM Tris-OAc, pH 8.0, 75 mM NaOAc, 1 mM Mg(OAc)<sub>2</sub>, 1 mM DTT, 0.1 mM EDTA, 2.5% glycerol, and 25  $\mu$ g of acetylated BSA/mL) for 15 min at 37 °C. NT-DNA (nontemplate-DNA; #8450; *SI Appendix, Table S2*) was then added at 1.5  $\mu$ M and incubated for another 15 min at 37 °C. Fully complementary NT-DNA was used to allow



the energy of DNA reannealing upon termination and bubble collapse to contribute to the termination energetics.

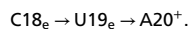
**In Vitro Transcription.** ECs were diluted in TB to 100 nM ECs and radiolabeled by incubation with 0.1  $\mu\text{M}$  [ $\alpha$ - $^{32}\text{P}$ ]CTP and 0.9  $\mu\text{M}$  CTP for 5 min (for WT,  $\Delta\text{S13}$ , and  $\text{Ala}_6\Delta\text{S13}$  ECs) or 0.1  $\mu\text{M}$  [ $\alpha$ - $^{32}\text{P}$ ]CTP and 2.5  $\mu\text{M}$  CTP for 30 min (for  $\Delta\text{TL}$ , LTPP, and LTPP $\Delta\text{S13}$  ECs) to form C18 ECs. Transcription was restarted by mixing the C18 ECs with an equal volume of TB containing UTP and ATP (to the final concentrations indicated in the reaction schemes of Fig. 3 and *SI Appendix, Figs. S7, S8, and S10*) with or without 50  $\mu\text{M}$  asRNA (100  $\mu\text{M}$  asRNA for  $\text{Ala}_6\Delta\text{S13}$  ECs). All NTP mixes were supplemented with  $\text{Mg}(\text{OAc})_2$  at a concentration equivalent to the NTP concentration to avoid  $\text{Mg}^{2+}$  sequestration effects. Samples incubated for 4 s or longer were stopped manually with an equal volume of 2 $\times$  stop buffer (10 M urea, 50 mM EDTA, 90 mM Tris-borate buffer, pH 8.0, 0.02% bromophenol blue and 0.02% xylene cyanol). Samples incubated for 8 s or shorter were stopped using a rapid mixing quench flow apparatus (RQF-3; KinTek Corporation) by injecting 100 nM C18 ECs in one sample loop, and UTP and ATP in TB in the presence or absence of 100  $\mu\text{M}$  asRNAs in the other sample loop. Samples obtained at the same times (4 s and 8 s) in the manual and quench flow reactions were similar, verifying that results from the two methods could be merged. Quench flow reactions were stopped with 2 M HCl, and neutralized immediately to pH 7.8 by addition of an equal volume of 3 M Tris base. RNA products were purified by phenol:chloroform extraction followed by ethanol precipitation, and resuspended in 1 $\times$  stop buffer. RNA products from all timed samples were resolved by 8 M urea denaturing PAGE. Gels were exposed to phosphorimager screens, scanned using the Typhoon PhosphorImager and quantified using ImageQuant software (GE Healthcare).

For dissociation assays (Fig. 2D), reconstituted G17 ECs were diluted to 100 nM in TB and tethered to paramagnetic  $\text{Co}^{2+}$  beads (Dynabeads; Thermo Fisher) through the  $\text{His}_{10}$  tag on RNAP by incubating with the beads for 10 min at room temperature, followed by 10 min at 37  $^\circ\text{C}$ . ECs were radiolabeled by incubation with 0.1  $\mu\text{M}$  [ $\alpha$ - $^{32}\text{P}$ ]CTP and 0.9  $\mu\text{M}$  CTP for 5 min, then beads were washed three times with an equal volume of TB to remove unincorporated CTP and unbound RNAP and NAs. Reactions were restarted by UTP and ATP addition in the presence or absence of 50  $\mu\text{M}$  asRNA. Supernatant samples were taken by magnetic partitioning, and supernatant and whole reaction samples were stopped with an equal volume of 2 $\times$  stop buffer. RNA products were separated by 8 M urea denaturing PAGE, and visualized by phosphorimaging, as described above.

**Kinetic Fitting of Transcription Assay Reaction Progress Plots.** Reaction progress curves for C18, U19, and  $\text{A20}^+$  were generated by calculating the radioactive signal for each RNA species as a percent of total signal from these RNAs in each sample.  $\text{A20}$  and all RNAs longer than  $\text{A20}$  were combined to give the  $\text{A20}^+$  fraction.

The reaction progress curves were fit by comparison with numerical integration of kinetic models (e.g., Fig. 4A) using KinTek Explorer (KinTek Corporation) (42). All replicates for a given condition and RNAP variant were fit simultaneously (global fitting) to minimize effects of experimental error. Each replicate was also fit individually, and the SDs in the best-fit kinetic parameters were calculated to estimate errors in the rate constants obtained from the global fits.

To determine the elongation kinetics (and pausing kinetics, where applicable) at the termination site in the absence of termination, reactions performed in the absence of asRNA were used. Data were first fit to the simplest model,



The KinTek Explorer fits were seeded with initial rate constants for these two reactions obtained from algebraic, single-exponential fits for C18 disappearance

and  $\text{A20}^+$  appearance using Igor Pro (WaveMetrics). All elongation kinetic models required inclusion of a termination step to fit the data adequately (e.g., Fig. 4B and *SI Appendix, Fig. S3*) due to weak termination that occurred at U19 even in the absence of asRNA. If the simple model failed to fit to the data adequately (as evidenced by high residuals with systematic error), pause steps for C18, U19, or both were added to the model to enable a good fit to the data (e.g., Fig. 4A and *SI Appendix, Fig. S10C*). In these cases, initial rate estimates from double exponential fits of the relevant reactions obtained with Igor Pro were used to seed the KinTek Explorer fits, with the faster rates corresponding to elongation and the slower rates corresponding to pause escape (e.g.,  $\text{C18}_p \rightarrow \text{U19}_e$  in Fig. 4A). For conditions exhibiting biphasic extension of C18 to U19, reaction kinetics were modeled using two C18 populations with different UMP incorporation rates. For computational simplicity, we fit the two C18 populations using a variable rapid rate to generate the second slow state (e.g., Fig. 4A). However, the method of modeling C18 extension kinetics does not impact U19 kinetics (*SI Appendix, Fig. S12*), which were used for all comparisons in this work. We include C18 ECs in the kinetic models only because it is necessary to analyze the kinetic behavior of U19 ECs. We restrict our conclusions about termination to the behavior of the active U19 ECs. Although the halted C18 ECs partitioned into different states with different elongation rates, as shown by Pisman and von Hippel (39), ECs revert to a naïve conformational state after each round of nucleotide addition. Thus, the U19 ECs are expected to be active ECs independent of the rate or path by which they form from C18. Steps with unconstrained rates were added to the kinetic models only if they were justifiable based on known pause or dissociation propensities of the RNAP variants in question, and if the probability that the more complex model gave a better fit was greater than 0.95. The relative probabilities of the selected kinetic models were evaluated against simpler models with fewer unconstrained rates using the corrected Akaike's Information Criterion (AICc) (43),

$$\text{AICc} = n \ln \left( \frac{SS}{N} \right) + 2K + \left( \frac{2K(K+1)}{N-K-1} \right),$$

where  $n$  is number of data points,  $SS$  is sum of squares, and  $K$  is number of free parameters + 1, and

$$\text{probability that selected model is correct}(P) = \frac{e^{-0.5\Delta\text{AICc}}}{1 + e^{-0.5\Delta\text{AICc}}},$$

where  $\Delta\text{AICc}$  is  $\text{AICc}(\text{selected model}) - \text{AICc}(\text{alternate model})$  (*SI Appendix, Figs. S3 and S6*).

In conditions with asRNA, elongation and pause kinetics were assumed to be unchanged, since these occur before asRNA binding (e.g., compare Figs. 4B and 5B), and the relevant rate constants were thus constrained in these fits. Binding and off rates ( $k_{\text{on}}$  and  $k_{\text{off}}$ ) for asRNA, asRNA concentration, and time of asRNA addition were input into the models and also constrained. Since we observed some amount of terminator escape from C18 and U19, as well as some termination (evidenced by plateaus formed by C18 and U19 with a percent occupancy of >0%; Fig. 5), four steps were added to these conditions: termination at C18, termination at U19, elongation of asRNA-bound C18, and elongation of asRNA-bound U19 (e.g., black arrows in Fig. 5B). The only free parameters in these fits were thus the rate constants for these four reactions, obtained by fitting the relevant kinetic models to their datasets by global and individual fitting (see also *SI Appendix, Fig. S6*).

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